

of  $C$  is the minimal integer  $t$  such that the spheres of radius  $t$  around the codewords of  $C$  cover all vertices of  $H$ .

The subconstituents of  $H$  w.r. to  $C$  are the sets

$$C_t := \{x \in H \mid d(x, C) = t\};$$

in particular,

$$C_0 = C, \quad C_t \neq \emptyset \Leftrightarrow t \leq t_C.$$

A code  $C$  is called *completely regular* if, for all  $l \geq 0$ , every point  $x \in C_l$  has the same number  $c_l$  of neighbours in  $C_{l-1}$  and the same number  $b_l$  of neighbours in  $C_{l+1}$ . A trivial counting argument gives the following.

**Proposition 1.1.**  $C$  is completely regular iff there is a matrix  $L$  (indexed by  $0, 1, \dots, t = t_C$ ) such that

$$|H(x) \cap C_j| = L_{ij} \quad \text{for all } x \in C_i; \quad (1)$$

in this case,  $L$  is tridiagonal,

$$L = \begin{pmatrix} a_0 & b_0 & & & \\ c_1 & a_1 & b_1 & & 0 \\ & c_2 & \ddots & \ddots & \\ 0 & & \ddots & \ddots & b_{t-1} \\ & & & c_t & a_t \end{pmatrix},$$

with

$$a_t = \bar{k} - b_t - c_t \geq 0, \quad c_0 = b_t = 0. \quad (2)$$

The matrix  $L$  is called the *intersection matrix* of  $C$ , and the *intersection array*

$$i(C) := \{b_0, \dots, b_{t-1}; c_1, \dots, c_t\} \quad (3)$$

is a short way to specify the independent parameters. A useful geometric visualization is the *distribution diagram* of  $C$ :

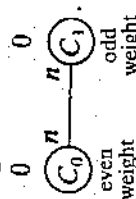


which indicates the number of edges between and within the subconstituents leaving a particular vertex.

**Examples.** A wealth of nontrivial examples is discussed in Brouwer et al. [2, Chapter 11]. The definition given there is different from ours, but Theorem 4.1

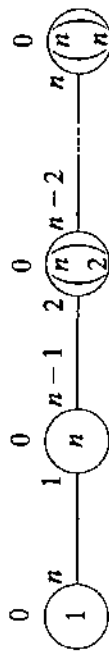
below implies the equivalence of the definitions for the case discussed there, where  $H$  is a distance regular graph. Here we only give some trivial examples illustrating the definitions given.

(1) In the  $n$ -cube, the set  $C$  of codewords of even weight (number of nonzeros) is completely regular with covering radius  $t = 1$  and distribution diagram



(2) For any completely regular code  $C$  with covering radius  $t$ , the subconstituent  $C_t$  is also completely regular, with reversed intersection array and distribution diagram.

(3) In the  $n$ -cube, each *singleton code*  $C = \{x\}$  is completely regular with covering radius  $t = n$  and distribution diagram



A regular graph in which all singleton codes are completely regular is called *distance-regular*. It is easy to show that all singleton intersection matrices (arrays) must be identical, and define the *intersection matrix (array)* of  $H$ . In particular, the  $n$ -cube is distance-regular with intersection array

$$\{n, n-1, \dots, 1; 1, 2, \dots, n\}.$$

For theory and construction of distance-regular graphs see [1] (introductory) and [2] (comprehensive).

## 2. Spectral properties (Lloyd's theorem)

The *eigenvalues* of a completely regular code  $C$  are the eigenvalues of its intersection matrix  $L$ ; they are distinct real numbers since  $L$  is tridiagonal and nonnegative. An important theorem due to Lloyd [4] (cf. van Lint [5, 6]) for the special case of perfect codes relates the eigenvalues of  $C$  to spectral properties of the graph  $H$ . To formulate Lloyd's theorem we define the *distribution matrices*  $A_i$ , indexed by pairs of vertices of  $H$ , with entries

$$(A_i)_{xy} := \begin{cases} 1 & \text{if } d(x, y) = i, \\ 0 & \text{otherwise.} \end{cases}$$

For  $i = 1$  we obtain the *adjacency matrix*  $A = A_1$  of  $H$ , whose eigenvalues are called the *eigenvalues* of  $H$ . If  $H$  is distance regular, the eigenvalues of  $H$  are precisely the eigenvalues of its intersection matrix, with a suitable multiplicity [1, 2]; e.g., the eigenvalue of the  $n$ -cube are  $n - 2i$  ( $i = 0, \dots, n$ ).

**Theorem 2.1.** Every eigenvalue of a completely regular code in  $H$  is an eigenvalue of  $H$ .

**Proof.** Define the characteristic vectors  $g_i$  of  $H$  with entries

$$(g_i)_x := \begin{cases} 1 & \text{if } x \in C_i, \\ 0 & \text{otherwise.} \end{cases}$$

Then

$$(Ag_i)_x = \sum_y A_{xy}(g_i)_y = |H(x) \cap C_i| = L_{ii} \quad \text{for } x \in C_i,$$

so that

$$Ag_i = \sum_j L_{ij}g_j = c_{i+1}g_{i+1} + a_i g_i + b_{i-1}g_{i-1}. \quad (4)$$

Now suppose that  $Lu = \theta u$ ,  $u \neq 0$ . Then

$$g := \sum u_i g_i \neq 0$$

satisfies

$$Ag = \sum_i u_i (Ag_i) = \sum_i u_i \left( \sum_j L_{ij}g_j \right) = \sum_j (Lu)_j g_j = \sum_j \theta u_j g_j = \theta g.$$

Thus  $\theta$  is an eigenvalue of  $A$ .  $\square$

More generally, Lloyd's theorem holds with the same proof for equitable partitions  $C_0, \dots, C_t$  of  $H$ , where now  $L$  is allowed to be an arbitrary matrix with (1). See Godsil and McKay [3].

### 3. Combinatorial properties

The intersection array determines the size of a completely regular code  $C$  and its subconstituents. Indeed, writing  $k_i := |C_i|$  for the size of  $C_i$  we have the following.

**Proposition 3.1.** For a completely regular code  $C$  with intersection array (3),

$$|C| = \bar{v}/(1 + \kappa_1 + \dots + \kappa_t), \quad (5)$$

$$k_i = \kappa_i |C|, \quad (6)$$

where

$$\kappa_i = \frac{b_0 \cdots b_{i-1}}{c_1 \cdots c_i} \quad (i = 1, \dots, t). \quad (7)$$

**Proof.** The number of codewords is  $|C| = k_0$ , and the number of edges between subconstituents  $C_i$  and  $C_{i+1}$  is  $k_i b_i = k_{i+1} c_{i+1}$ . Using (6) to define  $\kappa_i$  we find  $\kappa_0 = 1$  and  $\kappa_{i+1} = \kappa_i b_i / c_{i+1}$  which yields (7). Since the number of vertices is  $\bar{v} = k_0 + \dots + k_t$ , (5) follows.  $\square$

This generalizes the so-called *sphere-packing condition* for perfect codes, cf. van Lint [5]; the fact that  $|C|$  and the  $k_i$  must be integral restricts the possibilities for the intersection array. Somewhat stronger (since  $k_i = \sum_j p'_{ij}$ ) are the following integrality conditions which hold when  $H$  is distance regular.

**Theorem 3.2.** Let  $C$  be a completely regular code in a distance-regular graph  $H$ . Then there are constants  $p'_{ij}$  such that

$$|H_i(x) \cap C_j| = p'_{ij} \quad \text{for all } x \in C_i; \quad (8)$$

they are computable from  $p'_{00} = \delta_{00}$ ,  $p'_{10} = L_{10}$  and the recurrence relation

$$p'_{ij-1} c_i + p'_{ij} a_i + p'_{ij+1} b_i = \bar{c}_{i+1} p'_{i+1,j} + \bar{a}_i p'_{i,j} + \bar{b}_{i-1} p'_{i-1,j}.$$

(Here  $a_i, b_i, c_i$  refer to parameters of  $C$ , and  $\bar{a}_i, \bar{b}_i, \bar{c}_i$  to those of  $H$ .)

**Proof.** Clearly, the characteristic vectors  $g_i$  defined in the proof of Theorem 2.1 are linearly independent. Let  $V$  be the vector space spanned by the  $g_i$ . Then (4) implies that  $A$  maps  $V$  into itself. We now use the simple fact [1, 2] that for a distance regular graph,

$$AA_i = \bar{c}_{i+1}A_{i+1} + \bar{a}_i A_i + \bar{b}_{i-1}A_{i-1}. \quad (9)$$

This implies that the  $A_i$  are polynomials in  $A$ , hence they also map  $V$  into itself. Thus

$$A_i g_j = \sum_l p'_{ijl} g_l \quad (10)$$

for suitable numbers  $p'_{ijl}$ . For  $x \in C_i$ , this implies

$$p'_{ij} = (A_i g_j)_x = \sum_y (A_i)_{xy}(g_j)_y = |F_i(x) \cap C_j|.$$

This proves (8), and a comparison with (1) yields  $p'_{ij} = L_{ij}$ . Clearly  $p'_{0j} = \delta_{j0}$ . Finally, the recurrence relation follows from (4), (9) and (10) by comparing the coefficients of

$$A(A_i g_j) = A \left( \sum_l p'_{ijl} g_l \right) = \sum_l p'_{ijl} (c_{l+1} g_{l+1} + a_l g_l + b_{l-1} g_{l-1})$$

and

$$\begin{aligned} (AA_i)g_j &= (\bar{c}_{i+1}A_{i+1} + \bar{a}_i A_i + \bar{b}_{i-1}A_{i-1})g_j \\ &= \sum_l (\bar{c}_{i+1}p'_{l+1,j}g_l + \bar{a}_i p'_{lj}g_l + \bar{b}_{i-1}p'_{l-1,j}g_l). \quad \square \end{aligned}$$

(Again (8), but not the recurrence relation, generalizes to arbitrary equitable partitions of a distance-regular graph.)

#### 4. Geometric properties

Often, it is easier to check complete regularity in terms of the numbers

$$\lambda_i := p_{i0}^{i-1} \quad \text{and} \quad \mu_i := p_{i0}^i.$$

**Theorem 4.1.** Let  $H$  be a distance regular graph with intersection array  $\{\bar{b}_0, \dots, \bar{b}_{d-1}; \bar{c}_1, \dots, \bar{c}_d\}$ . A code  $C$  in  $H$  with covering radius  $t$  is completely regular iff the condition:

(C<sub>i</sub>) If  $d(x, C) = i$  then  $C$  contains exactly  $\mu_i$  codewords at distance  $i$  from  $x$  and  $\lambda_{i+1}$  codewords at distance  $i+1$  from  $x$

holds for all  $i$ . In this case, the intersection array of  $C$  is given by

$$\begin{aligned} b_i &= \bar{b}_i + \bar{c}_i - \frac{\lambda_{i+1}\bar{c}_{i+1}}{\mu_i} + \frac{(\lambda_i + \mu_i)\bar{c}_i}{\mu_{i-1}} \quad (i < t), \\ c_i &= \frac{\mu_i\bar{c}_i}{\mu_{i-1}} \quad (i \leq t). \end{aligned} \quad (11)$$

**Proof.** If  $C$  is completely regular then (C) holds with  $\lambda_i = p_{i0}^{i-1}$  and  $\mu_i = p_{i0}^i$ . Conversely, suppose that (C) holds, and fix  $x \in C_i$ . We count in two ways ( $y$  first,  $z$  first) the number of pairs  $(y, z) \in H(x) \times C$  satisfying  $d(y, z) = i$ .

For  $i = i-1$  we must have  $y \in C_{i-1}$ ,  $z \in H_i(x)$ , so that we get  $|H(x) \cap C_{i-1}| \mu_{i-1} = \mu_i \bar{c}_i$ . Hence  $|H(x) \cap C_{i-1}|$  is the constant  $c_i$  given by (11). And for  $i = i$  we must have  $y \in C_{i-1} \cup C_i$ ,  $z \in H_i(x) \cup H_{i+1}(x)$ , and we get

$$c_i \lambda_i + |H(x) \cap C_i| \mu_i = \mu_i \bar{a}_i + \lambda_{i+1} \bar{c}_{i+1}$$

whence

$$|H(x) \cap C_i| = (\mu_i \bar{a}_i + \lambda_{i+1} \bar{c}_{i+1} - c_i \lambda_i) / \mu_i =: a_i$$

is constant. This implies that

$$|H(x) \cap C_{i+1}| = \bar{k} - a_i - c_i = b_i \quad (\text{use } \bar{k} = \bar{a}_i + \bar{b}_i + \bar{c}_i)$$

is constant, and shows that  $C$  is completely regular.  $\square$

For example, the repetition code  $C = \{0^n, 1^n\}$  is a completely regular code in the  $n$ -cube, with covering radius  $t = \lfloor n/2 \rfloor$  and  $\lambda_i = 0$  ( $i = 1, \dots, t$ ),  $\mu_i = 2$  if  $2i = n$  and  $\mu_i = 1$  otherwise. This trivial example has analogues in some codes with large minimum distance

$$d_C := \min\{d(x, y) \mid x, y \in C, x \neq y\}.$$

**Proposition 4.2.** Let  $C$  be a code in a graph  $H$  satisfying for all  $i$  the condition (C<sub>i</sub>) of Theorem 4.1. Then

$$d_C \leq 2t_C + 1, \quad (12)$$

$$\lambda_i = 0 \quad \text{if } 2i \leq d_C, \quad (13)$$

$$\mu_i = 1 \quad \text{if } 2i + 1 \leq d_C, \quad (14)$$

$$\mu_i \geq \mu_{i-1}. \quad (15)$$

**Proof.** (i) (12) is clear if  $t_C$  equals the maximal distance in  $H$ . If  $t := t_C$  is smaller, choose  $x \in C_i$  and  $z$  at distance  $t+1$  from  $x$ . By definition of  $t$ , there is a vertex  $y \in C$  at distance  $\leq t$  from  $z$ , and since  $x \neq y$  we have  $d_C \leq d(x, y) \leq d(x, z) + d(y, z) \leq 2t + 1$ . Thus (12) holds.

(ii) Let  $d(x, C) = i$ . Then there is a codeword  $z \in C$  at distance  $i$  from  $x$ . If  $\mu_i > 1$  then there is another such codeword  $y$  and  $d_C \leq d(z, y) \leq d(z, x) + d(y, x) = 2i$ , which gives (14). And if  $\lambda_{i+1} > 0$  then there is a codeword  $y \in C$  at distance  $i+1$  from  $x$ , so that  $d_C \leq d(z, y) \leq d(z, x) + d(y, x) = 2i + 1$ . With  $i-1$  in place of  $i$ , this yields (13).

(iii) Let  $x'$  be the neighbour of  $x$  on a geodesic from  $x$  to  $z$ , so that  $d(x', z) = i-1$ . Then the  $\mu_{i-1}$  codewords at distance  $i-1$  from  $x'$  have distance  $i$  from  $x$ , giving (15).  $\square$

The extremal case in (12) is very special.

**Theorem 4.3.** For a code  $C$  in a distance regular graph  $H$ , the following properties are equivalent:

(P1)  $d_C = 2t_C + 1$ ,

(P2)  $C$  is completely regular with  $\lambda_i = 0$ ,  $\mu_i = 1$  ( $i = 0, \dots, t_C$ ),

(P3)  $C$  is perfect, i.e., the spheres of some fixed radius  $e$  around codewords partition  $H$ . In particular, Lloyd's theorem holds for perfect codes.

**Proof.** (P1)  $\Leftrightarrow$  (P3): Spheres of radius  $t_C$  cover  $H$ , and they are disjoint iff  $d_C \geq 2t_C + 1$ , hence by (12) iff (P1) holds.

(P1)  $\Rightarrow$  (P2). By (13) and (14),  $\lambda_i = 0$ ,  $\mu_i = 1$  for  $i = 0, \dots, t_C$ , and by Theorem 4.1, this implies that  $C$  is completely regular.

(P2)  $\Rightarrow$  (P1): Suppose that  $d_C \leq 2t_C$ , let  $x, y \in C$  have distance  $d_C$ , and let  $z$  be a vertex on a geodesic between  $x$  and  $y$  at distance  $i$  from  $x$  and  $j = d - i$  from  $y$ . For the choice  $i = \lfloor d/2 \rfloor$  we have  $j \in \{i, i+1\}$ , and  $d(z, C) = i$  since  $d_C$  is minimal. But  $j \neq i$  since  $\mu_i = 1$  and  $j \neq i+1$  since  $\lambda_{i+1} = 0$ , contradiction. Hence  $d_C \geq 2t_C + 1$ , and equality holds by (12).  $\square$

## 5. Open problems

While the classification of perfect codes with large covering radius has been completed for the Hamming cubes  $H(n, q)$ —with major contributions of van Lint [5]—the corresponding problem for completely regular codes is wide open. The survey in [2] shows that a number of infinite families with unbounded covering radius exist, so a complete classification will be much more difficult. However, the known examples are compatible with the following.

**Conjecture.** The only completely regular code  $C$  in  $H(n, q)$  with  $|C| > 2$  and  $d_C \geq 8$  is the extended binary Golay code ( $n = 24$ ,  $q = 2$ ,  $d_C = 8$ ).

Apart from settling this conjecture, some further problems are of interest:

- (1) Find more feasibility conditions for the  $\lambda_i, \mu_i$ .
- (2) Find all 'small' completely regular codes in the Hamming cubes ( $qn \leq 48$  is a natural bound).
- (3) Characterize the known completely regular codes [2] by their parameters.

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